



MASS TORT / CLASS ACTION SUPPLEMENT APPLICATION

Firm Name: \_\_\_\_\_ Policy Number: \_\_\_\_\_

1. a. Identify in types of mass tort or class action cases do you handle:

- Asbestos, Lemon Law, Tobacco / eCig / Vape, Collection Practices, Medical Devices / Procedures, Sex Abuse, Environmental, Pharmaceutical, Shareholder Matters, Fair Wages, Products Liability (non-medical), Other, Technology

b. Percentage of class action / mass tort services by role: Plaintiff's Counsel \_\_\_\_\_% Defense Counsel \_\_\_\_\_%

2. Please indicate:

- a. The number of years the Firm has handled class action / mass tort matters.
b. The number of lawyers from your Firm who are handling class action / mass tort matters.
c. Number of non-legal credentialed professionals, other than paralegals, who are employed at the Firm:

- Accountants, Engineers, Physicians, Architects, Nurses, Other Medical Professional, Other

If "Other" please specify profession and number of persons in the Profession: \_\_\_\_\_

3. Does your Firm handle Multi-State Litigation? Yes No

4. a. How many class action / mass tort cases has your Firm handled in the past 5 years?

b. For these cases is your Firm: the "lead" attorney? the "local" attorney?

5. Do you assure that any firm with whom you work as co-counsel, referring counsel, and/or lead/local counsel on class action / mass tort matters, carries professional liability insurance with limits equal to or greater than the limits carried by your Firm? Yes No Not Applicable

6. Does the Firm's Engagement Letters specific to each representation include the following additional language:

- a. Specifics of the scope of class action / mass tort representation?
b. Expense payment structure?
c. Fee structure and apportionment?
d. Language addressing when client is not designated as a plaintiff in the class?
e. Language addressing when client is not designated as lead plaintiff?
f. Language addressing when the law firm is not designated as class counsel?
g. Language addressing client consent to settlement conditions?
h. Use of lead and local counsel duties of each counsel included?

7. Does your Firm use written agreements with lead/local counsel or co-counsel in all matters with respect to the duties, fees, services? No Firm is only counsel

8. In the most recent 5 years, what is the average monetary value of class action / mass tort matters your Firm handled? <1,000,000 1,000,000 - 2,500,000 2,500,000 - 5,000,000 >5,000,000

Signature of Partner/Officer \_\_\_\_\_ Print Name \_\_\_\_\_ Date: \_\_\_\_\_